

**U.S. District Court
District of Columbia (Washington, DC)
CIVIL DOCKET FOR CASE #: 1:10-cv-01245-RJL**

SECURITIES AND EXCHANGE COMMISSION v. DELL
INC., et al
Assigned to: Judge Richard J. Leon
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 07/22/2010
Date Terminated: 12/17/2012
Jury Demand: None
Nature of Suit: 850
Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Plaintiff

**SECURITIES AND EXCHANGE
COMMISSION**

represented by **John D. Worland , Jr.**
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V.

Defendant

DELL INC.

Defendant

MICHAEL S. DELL

Defendant

KEVIN B. ROLLINS

Defendant

JAMES M. SCHNEIDER

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Defendant

LESLIE L. JACKSON

Defendant

NICHOLAS A.R. DUNNING

V.

Interested Party

**EPIQ CLASS ACTION & CLAIMS
SOLUTIONS, INC.**
formerly known as
GARDEN CITY GROUP

Date Filed	#	Docket Text
07/22/2010	<u>1</u>	COMPLAINT against MICHAEL S. DELL, DELL INC., NICHOLAS A.R. DUNNING, LESLIE L. JACKSON, KEVIN B. ROLLINS, JAMES M. SCHNEIDER (Filing fee \$ 0) filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Civil Cover Sheet)(tr) (Entered: 07/22/2010)

07/22/2010		SUMMONS Not Issued as to MICHAEL S. DELL, DELL INC., NICHOLAS A.R. DUNNING, LESLIE L. JACKSON, KEVIN B. ROLLINS, JAMES M. SCHNEIDER (tr) (Entered: 07/22/2010)
07/31/2010	<u>2</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment of Dell, Inc.</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
07/31/2010	<u>3</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment for Michael S. Dell</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
07/31/2010	<u>4</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment for Kevin B. Rollins</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
07/31/2010	<u>5</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment for James M. Schneider</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
07/31/2010	<u>6</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment for Leslie L. Jackson</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
07/31/2010	<u>7</u>	NOTICE of Settlement <i>Consent and Proposed Final Judgment for Nicholas A. R. Dunning</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 07/31/2010)
08/02/2010	<u>8</u>	NOTICE of Settlement <i>Corrected Consent and Proposed Final Judgment</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 08/02/2010)
08/02/2010	<u>9</u>	NOTICE of Settlement <i>Corrected Consent and Proposed Final Judgment for Kevin Rollins</i> by SECURITIES AND EXCHANGE COMMISSION (Worland, John) (Entered: 08/02/2010)
08/09/2010		Set/Reset Hearings: In Chambers Conference set for 8/18/2010 at 11:00 AM in Courtroom 18 before Judge Richard J. Leon. (kc) (Entered: 08/09/2010)
10/12/2010		Set/Reset Hearings: Hearing set for 10/13/2010 at 02:00 PM in Courtroom 18 before Judge Richard J. Leon. (kc) (Entered: 10/12/2010)
10/13/2010		Minute Entry for proceedings held before Judge Richard J. Leon. Settlement hearing held on 10/13/2010. (Court Reporter Patty Gels.) (kc) (Entered: 10/13/2010)
10/13/2010	<u>10</u>	FINAL JUDGMENT AS TO DEFENDANT DELL INC. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/13/2010	<u>11</u>	FINAL JUDGMENT AS TO DEFENDANT MICHAEL S. DELL. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/13/2010	<u>12</u>	FINAL JUDGMENT AS TO DEFENDANT KEVIN B. ROLLINS. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/13/2010	<u>13</u>	FINAL JUDGMENT AS TO DEFENDANT JAMES M. SCHNEIDER. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/13/2010	<u>14</u>	FINAL JUDGMENT AS TO DEFENDANT LESLIE L. JACKSON. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/13/2010	<u>15</u>	FINAL JUDGMENT AS TO DEFENDANT NICHOLAS A. R. DUNNING. Signed by Judge Richard J. Leon on 10/13/10. (kc) (Entered: 10/13/2010)
10/19/2010	<u>16</u>	TRANSCRIPT OF PROCEEDINGS before Judge Richard J. Leon held on 10/13/10; Date of Issuance:10/14/10. Court Reporter/Transcriber Patty Gels, Telephone number 202-354-3236, Court Reporter Email Address : Patty_Gels@dcd.uscourts.gov.<P></P>For the first 90 days after this filing date, the transcript may be viewed at the courthouse at a public terminal or purchased from the court reporter referenced above. After 90 days, the transcript may be accessed via PACER. Other transcript formats, (multi-page, condensed, CD or ASCII) may be

		purchased from the court reporter.<P> NOTICE RE REDACTION OF TRANSCRIPTS: The parties have twenty-one days to file with the court and the court reporter any request to redact personal identifiers from this transcript. If no such requests are filed, the transcript will be made available to the public via PACER without redaction after 90 days. The policy, which includes the five personal identifiers specifically covered, is located on our website at ww.dcd.uscourts.gov .</P></P> Redaction Request due 11/9/2010. Redacted Transcript Deadline set for 11/19/2010. Release of Transcript Restriction set for 1/17/2011.(Gels, Patty) (Entered: 10/19/2010)
10/26/2010		DEPOSIT of Funds into the Registry of the Court by Michael S. Dell, in the amount of \$ \$4,000,001.00, Receipt Number 4616033696. (jf,) (Entered: 10/27/2010)
10/27/2010		DEPOSIT of Funds into the Registry of the Court by James Schneider in the amount of \$ \$1,000,287.00, Receipt Number 4616033735. (jf,) (Entered: 10/27/2010)
10/27/2010		DEPOSIT of Funds into the Registry of the Court by Kevin B. Rollins in the amount of \$ \$4,000,001.00, Receipt Number CQ11461600006. (jf,) (Entered: 11/01/2010)
10/27/2010		DEPOSIT of Funds into the Registry of the Court by Dell, Inc. in the amount of \$100,000,001.00, Receipt Number CQ11461600007. (jf,) (Entered: 11/01/2010)
11/24/2010		DEPOSIT of Funds into the Registry of the Court in the amount of \$ \$50,001.00 received by wire transfer on 11/16/10, Receipt Number CQ11461600011 by Nicolas A.R. Dunning. (jf,) (Entered: 11/29/2010)
11/24/2010		DEPOSIT of Funds into the Registry of the Court in the amount of \$ \$6.03 received by wire transfer on 11/17/10, Receipt Number CQ11461600012 by Nicolas A.R. Dunning. (jf,) (Entered: 11/29/2010)
12/17/2010	<u>17</u>	NOTICE of Appearance by Stacey M. Nahrwold on behalf of SECURITIES AND EXCHANGE COMMISSION (Nahrwold, Stacey) (Entered: 12/17/2010)
12/17/2010	<u>18</u>	MOTION for Order <i>to Transfer Funds and to Appoint a Tax Administrator</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order)(Nahrwold, Stacey). Added MOTION (to Appoint) on 12/20/2010 (znmw,). (Entered: 12/17/2010)
12/22/2010	<u>19</u>	NOTICE of Appearance by Marsha Massey on behalf of SECURITIES AND EXCHANGE COMMISSION (Massey, Marsha) (Entered: 12/22/2010)
12/22/2010	<u>20</u>	Amended MOTION for Order <i>to Transfer Funds and to Appoint a Tax Administrator</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Appendix A, # <u>2</u> Appendix B, # <u>3</u> Appendix C, # <u>4</u> Text of Proposed Order)(Massey, Marsha) (Entered: 12/22/2010)
12/29/2010	<u>21</u>	ORDER granting <u>20</u> Amended Motion for Order to Transfer Funds and To Appoint A Tax Administrator. Signed by Judge Richard J. Leon on 12/28/2010. (jth) (Entered: 12/29/2010)
01/10/2011	<u>22</u>	MOTION for Extension of Time to <i>Pay Civil Penalty</i> by JAMES M. SCHNEIDER (Attachments: # <u>1</u> Text of Proposed Order)(Eggleston, Warren) (Entered: 01/10/2011)
01/13/2011		MINUTE ORDER granting <u>22</u> Motion of Defendant James M. Schneider for Extension of Time to Pay Civil Penalty. It is hereby ORDERED that defendant Schneider's motion is GRANTED. Defendant Schneider shall pay the remaining civil penalty owed, totalling \$2,121,736, within 270 days of the Final Judgment entered against him, or no later than July 11, 2011. Defendant Schneider shall also pay post-judgment interest pursuant to 28 U.S.C. § 1961. Signed by Judge Richard J. Leon on 1/13/2011. (lcrjl1) (Entered: 01/13/2011)
01/14/2011		Set/Reset Deadlines: civil penalty owed due by 7/11/2011. (kc) (Entered: 01/14/2011)
03/10/2011	<u>23</u>	Emergency MOTION for Disbursement of Funds <i>to Pay Tax Obligations</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Exhibit Declaration of Tax Administrator, # <u>2</u> Text of Proposed Order)(Massey, Marsha) (Entered: 03/10/2011)

03/10/2011	<u>24</u>	NOTICE <i>Certificate of Service</i> by SECURITIES AND EXCHANGE COMMISSION re <u>23</u> Emergency MOTION for Disbursement of Funds to Pay Tax Obligations (Massey, Marsha) (Entered: 03/10/2011)
03/11/2011	<u>25</u>	ORDER granting <u>23</u> Motion for Disbursement of Funds. Signed by Judge Richard J. Leon on 3/10/11. (see order) (kc) (Entered: 03/11/2011)
03/29/2011	<u>26</u>	MOTION for Disbursement of Funds to Pay Tax Obligations by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Exhibit Declaration of Tax Administrator, # <u>2</u> Text of Proposed Order)(Massey, Marsha) (Entered: 03/29/2011)
03/29/2011	<u>27</u>	MOTION for Disbursement of Funds to Pay Fees and Expenses of Tax Administrator by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Exhibit Declaration and Invoice of Tax Administrator, # <u>2</u> Text of Proposed Order)(Massey, Marsha) (Entered: 03/29/2011)
04/08/2011	<u>28</u>	ORDER granting <u>26</u> Motion for Disbursement of Funds to Pay Tax Obligations. Signed by Judge Richard J. Leon on 4/7/2011. (see order) (kc) (Entered: 04/08/2011)
04/08/2011	<u>29</u>	ORDER granting <u>27</u> MOTION for Disbursement of Funds to Pay Fees and Expenses of Tax Administrator. Signed by Judge Richard J. Leon on 4/7/2011. (see order) (kc) (Entered: 04/08/2011)
04/20/2011		DEPOSIT of funds into the registry of the court in the amount of \$ \$7,350.19, Receipt Number 4616037898 received by Randall D. Imhoff \$7,341.50, principal and \$8.69, interest totaling \$7,350.19. (jf,) (Entered: 04/21/2011)
05/26/2011	<u>30</u>	MOTION for Disbursement of Funds to Pay Tax Obligations by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit A)(Nahrwold, Stacey) (Entered: 05/26/2011)
06/01/2011	<u>31</u>	ORDER granting <u>30</u> Motion for Disbursement of Funds. (SEE ORDER FOR DETAILS)Signed by Judge Richard J. Leon on 5/28/2011. (jth) (Entered: 06/01/2011)
07/11/2011	<u>32</u>	MOTION for Extension of Time to Pay Civil Penalty by JAMES M. SCHNEIDER (Attachments: # <u>1</u> Text of Proposed Order)(Eggleston, Warren) (Entered: 07/11/2011)
07/11/2011		DEPOSIT of Funds, a wire transfer in the amount of \$1,003,572.00, Receipt Number CQ11461600086 as a portion of the ordered amount imposed by the court. (rdj) (Entered: 07/14/2011)
07/12/2011		DEPOSIT of Funds into the registry of the court in the amount of \$7,354.53, Receipt Number 4616040527 received by Randall D. Imhoff \$7,341.50, principal and \$13.03, interest totaling \$7,354.53. (rdj) (Entered: 07/13/2011)
07/14/2011		MINUTE ORDER granting <u>32</u> Motion of Defendant James M. Schneider for Extension of Time to Pay Civil Penalty. It is hereby ORDERED that the motion is GRANTED. Defendant Schneider shall pay \$300,000 by August 26, 2011, and \$621,736 by October 11, 2011, with each such payment to include post-judgment interest pursuant to 28 U.S.C. section 1961. Signed by Judge Richard J. Leon on 07/14/2011. (lcrjl1) (Entered: 07/14/2011)
07/15/2011		DEPOSIT of Wire Transer Funds in the amount of \$ \$200,000.00, Receipt Number CQ11461600088 received from James Schneider on July 13, 2011. (jf,) (Entered: 07/15/2011)
09/13/2011	<u>33</u>	MOTION for Disbursement of Funds (<i>Expedited</i>) by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit A – Declaration of Tax Administrator)(Nahrwold, Stacey) (Entered: 09/13/2011)
10/11/2011	<u>34</u>	ORDER granting <u>33</u> Motion for Disbursement of Funds to Pay Tax Obligations. (SEE THE ORDER FOR COMPLETE DETAILS). Signed by Judge Richard J. Leon on 10/7/2011. (jth) (Entered: 10/11/2011)
10/14/2011		DEPOSIT of Funds in the amount of \$14,717.76, Receipt Number 4616042940 received from Randall Imhoff on October 13, 2011. Principal = \$14,683.00 Interest = \$34.76 (rdj) (Entered: 10/17/2011)

10/21/2011	<u>35</u>	MOTION for Order <i>for Establishment of Fair Fund and Appointment of Distribution Agent</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Appendix A, # <u>3</u> Text of Proposed Order)(Nahrwold, Stacey) (Entered: 10/21/2011)
11/15/2011	<u>36</u>	ORDER FOR ESTABLISHMENT OF A FAIR FUND AND APPOINTMENT OF DISTRIBUTION AGENT. Signed by Judge Richard J. Leon on 11/14/2011. (see order) (kc) (Entered: 11/15/2011)
04/06/2012	<u>37</u>	NOTICE of Appearance by Scott N. Auby on behalf of JAMES M. SCHNEIDER (Auby, Scott) (Entered: 04/06/2012)
04/06/2012	<u>38</u>	MOTION for Extension of Time to <i>Pay Civil Penalty</i> by JAMES M. SCHNEIDER (Attachments: # <u>1</u> Text of Proposed Order)(Auby, Scott) (Entered: 04/06/2012)
04/06/2012	<u>39</u>	Amended MOTION for Extension of Time to <i>Pay Civil Penalty</i> by JAMES M. SCHNEIDER (Attachments: # <u>1</u> Text of Proposed Order)(Auby, Scott) (Entered: 04/06/2012)
04/25/2012		DEPOSIT of Funds into the Registry of the Court on 04/12/2012 in the amount of \$152,122.00, Receipt Number CQ12461600078 received from JAMES M. SCHNEIDER. (jf,) (Entered: 04/25/2012)
04/25/2012		MINUTE ORDER granting nunc pro tunc <u>39</u> Motion of Defendant James M. Schneider For Extension of Time to Pay Civil Penalty. It is hereby ORDERED that the motion is GRANTED; and it is further ORDERED that defendant James Schneider, in satisfaction of Paragraph IX of the Final Judgment (Dkt. #13), shall pay (1) 250,000 on or before June 29, 2012, and (2) \$521,736 on or before August 31, 2012, with each such payment to include post-judgment interest pursuant to 28 U.S.C. § 1961. Signed by Judge Richard J. Leon on 4/25/2012. (lcrjl1) (Entered: 04/25/2012)
09/20/2012	<u>40</u>	MOTION for Order <i>Approving a Distribution Plan for the Dell Fair Fund</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Exhibit 1 – Plan of Distribution, # <u>2</u> Plan of Allocation, # <u>3</u> Text of Proposed Order)(Nahrwold, Stacey) (Entered: 09/20/2012)
11/20/2012		DEPOSIT of Funds into the Registry of the Court on July 3, 2012 in the amount of \$ 250,000.00, Receipt Number CQ12461600192 received from JAMES M. SCHNEIDER. (rdj) (Entered: 11/21/2012)
12/11/2012	<u>41</u>	ORDER APPROVING DISTRIBUTION PLAN. Signed by Judge Richard J. Leon on 12/15/2012. (see order) (kc) (Entered: 12/11/2012)
12/21/2012		DEPOSIT of Wire Transer Funds in the amount of \$ \$100,000.00, Receipt Number 4616053373 from James Schneider. (jf,) (Entered: 12/26/2012)
01/25/2013	<u>42</u>	STATUS REPORT <i>The Distribution Agent's First Progress Report</i> by GARDEN CITY GROUP, INC. (Cirami, Stephen) Modified on 2/19/2013 (znmw,). (Entered: 01/25/2013)
04/19/2013	<u>43</u>	STATUS REPORT <i>The Distribution Agent's Second Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/19/2013)
04/19/2013		DEPOSIT of Funds into the Registry of the Court in the amount of \$ \$100,000.00, Receipt Number 4616055695 received from James Schneider. (jf,) (Entered: 04/22/2013)
05/06/2013	<u>44</u>	ORDER: It is hereby ordered that defendant DELL,INC. promptly deposit the sum of \$2,285.00 into the Registry of the Court. It is further ordered that a copy of this Order be served personally upon the Clerk of Court or the Finance Administrator. Signed by Judge Richard J. Leon on 05/20/13. (tb,) (Entered: 05/06/2013)
05/07/2013		DEPOSIT of Funds into the Registry of the Court in the amount of \$ \$2,285.00, Receipt Number 4616056113 by Damasco and Associates LLP (jf,) (Entered: 05/08/2013)
07/01/2013		DEPOSIT of Funds into the Registry of the Court in the amount of \$ 100,000.00., Receipt Number CQ13461600076. (jf,) (Entered: 07/02/2013)

07/19/2013	<u>45</u>	STATUS REPORT <i>The Distribution Agent's Third Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 07/19/2013)
10/01/2013		DEPOSIT of Funds into the Courts Registry in the amount of \$ \$100,000.00, Receipt Number: CQ13461600101 from James Schneider received on 09/30/2013 (jf,) (Entered: 10/02/2013)
10/18/2013	<u>46</u>	STATUS REPORT <i>The Distribution Agent's Fourth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 10/18/2013)
01/02/2014		DEPOSIT of Funds into the Registry of the Court in the amount of \$100,000.00, Receipt Number CQ14461600023. (rdj) (Entered: 01/03/2014)
01/17/2014	<u>47</u>	STATUS REPORT <i>The Distribution Agent's Fifth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 01/17/2014)
04/18/2014	<u>48</u>	STATUS REPORT <i>The Distribution Agent's Sixth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/18/2014)
07/15/2014	<u>49</u>	STATUS REPORT <i>The Distribution Agent's Seventh Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 07/15/2014)
07/17/2014		DEPOSIT of Funds into the Registry of the Court in the amount of \$ \$23,207.54, Receipt Number CQ14461600074 from James Schneider. (jf,) (Entered: 07/18/2014)
07/24/2014	<u>50</u>	MOTION for Disbursement of Funds by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Declaration of Ellen E. Riley in Support of the Securities and Exchange Commission's Motion for Distribution of Fair Fund, # <u>2</u> Text of Proposed Order to Transfer Funds to the Distribution Agent for Disbursement)(Timmons, Nichola) (Entered: 07/24/2014)
07/28/2014	<u>51</u>	ORDER: Having considered plaintiff's <u>50</u> Motion for Disbursement of Fund; it is hereby ordered that the Clerk of Court shall issue a check to the Distribution Agent, Garden City Group Inc. SEE ORDER FOR FULL DETAILS. Signed by Judge Richard J. Leon on 07/25/14. (tb,) (Entered: 07/28/2014)
08/13/2014	<u>52</u>	NOTICE of Receipt Acknowledging Receipt of Funds and Deposit into Escrow Account by GARDEN CITY GROUP, INC. (Cirami, Stephen) (Entered: 08/13/2014)
10/17/2014	<u>53</u>	STATUS REPORT <i>The Distribution Agent's Eighth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 10/17/2014)
01/20/2015	<u>54</u>	STATUS REPORT <i>The Distribution Agent's Ninth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 01/20/2015)
04/20/2015	<u>55</u>	STATUS REPORT <i>The Distribution Agent's Tenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/20/2015)
07/16/2015	<u>56</u>	STATUS REPORT <i>The Distribution Agent's Eleventh Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 07/16/2015)
10/20/2015	<u>57</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Twelfth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 10/20/2015)
01/20/2016	<u>58</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Thirteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 01/20/2016)
04/19/2016	<u>59</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Fourteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/19/2016)
07/18/2016	<u>60</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Fifteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 07/18/2016)
10/19/2016	<u>61</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Sixteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 10/19/2016)
01/19/2017	<u>62</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Seventeenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 01/19/2017)
04/20/2017	<u>63</u>	STATUS REPORT <i>The Distribution Agent's Eighteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/20/2017)

07/20/2017	<u>64</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Nineteenth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 07/20/2017)
10/16/2017	<u>65</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Twentieth Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 10/16/2017)
01/18/2018	<u>66</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Twenty-First Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 01/18/2018)
04/20/2018	<u>67</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Twenty-Second Progress Report</i> by GARDEN CITY GROUP, INC.. (Cirami, Stephen) (Entered: 04/20/2018)
07/20/2018	<u>68</u>	Quarterly STATUS REPORT <i>The Distribution Agent's Twenty-Third Progress Report</i> by GARDEN CITY GROUP, INC. (jf) (Entered: 07/25/2018)
08/06/2018	<u>69</u>	NOTICE of Appearance by Susan Slocum Pecaro on behalf of SECURITIES AND EXCHANGE COMMISSION (Pecaro, Susan) (Main Document 69 replaced on 8/7/2018) (zjf). (Entered: 08/06/2018)
08/06/2018	<u>70</u>	MOTION for Order <i>Directing the Distribution Agent to Disburse the Fair Fund to Eligible Claimants</i> by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Declaration of Distribution Agent in Support Directing Disbursement of Fair Fund, # <u>2</u> Text of Proposed Order to Disburse the Fair Fund to Eligible Claimants)(Pecaro, Susan) (Entered: 08/06/2018)
10/22/2018	<u>71</u>	TWENTY-FOURTH PROGRESS REPORT by GARDEN CITY GROUP, INC. (jf) (Entered: 10/22/2018)
01/22/2019	<u>72</u>	TWENTY-FIFTH PROGRESS REPORT by EPIQ CLASS ACTION & CLAIMS SOLUTIONS, INC. (jf) (Entered: 01/29/2019)
03/06/2019		MINUTE ORDER. Upon consideration of plaintiff Securities and Exchange Commission's <u>70</u> Motion for an Order Directing the Distribution Agent to Disburse the Fair Fund to Eligible Claimants and supporting declaration, it is hereby ORDERED that the Distribution Agent shall distribute the funds remaining in the Fair Fund to those Eligible Claimants who cashed their distribution checks received in the Initial Tranche of the distribution, pursuant to the Final Payee List reviewed and accepted by the Commission staff. It is further ORDERED that the Distribution Agent shall pay any remaining taxes and related fees and expenses of the Tax Administrator and fees and expenses of the Distribution Agent from the Fair Fund upon written direction and approval of the Commission staff, without further order from the Court. SO ORDERED. Signed by Judge Richard J. Leon on 3/6/19. (lcrjl1) (Entered: 03/06/2019)